PATENT: 600-1-25

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FOR

APPLICANT(S): Zhang et al.

SERIAL NO.: 09/387.418

EXAMINER: UNASSIGNED

**DATE FILED: 8/31/99** 

FOR: METHODS

ART UNIT: 1643 IDENTIFYING

**MODULATORS** 

OF

TRANSCRIPTIONAL ACTIVATOR PROTEIN INTERACTIONS

### CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on 04/24/3 2.

(Name of depositor)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References AA through AH listed on the attached Form PTO-1449.

No fee is believed due for the filing of this statement inasmuch as it is being filed before the mailing of the first official action on the merits. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

Michael A. Yamin

Agent for Applicants Registration No. 44,414

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KLAUBER & JACKSON



		Sheet 1 of
Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce	ATTORNEY DOCKET NO.	600-1-253
Patent and Trademark Office	SERIAL NO.	09/387,418
LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT	APPLICANT	Zhang et al.
(Use several sheets if necessary)	FILING DATE	August 31, 1999
	GROUP	1643

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF
L	AA	5,716,622	2/10/98	Darnell, Jr. et al.			The Market

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
<u> </u>							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	Bromberg et al., 1998, Mol Cell Biol, 18:2553-8			
AC Carey, 1998, Cell, 92:5-8				
 AD	Darrnell, 1997, Science, 277:1630-5			
AE Horvath et al., 1996, Mol Cell Biol, 16:6957-64				
AF	Roeder, 1997, Trends Biochem Sci, 21:327-35			
 AG	Schaefer et al., 1997, Mol Cell Biol, 17:5307-16			
АН	Schaefer et al., 1995, Proc Natl Acad Sci USA, 92:9097-101			

#### EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Zhang et al.

SERIAL NO.: 09/387,418

DATE FILED: August 31, 1999

EXAMINER: T. McKelvey

ART UNIT: 1636

FOR: METHODS FOR IDENTIFYING MODULATORS OF TRANSCRIPTIONAL

**ACTIVATOR PROTEIN INTERACTIONS** 

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

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Betty Schultz

(Name of depositor)

(Signature and Date)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References AI through AM listed on the attached Form PTO-1449. The undersigned agent for applicants hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. In particular, this reference was cited in the International Search Report dated February 19, 2001 established by the European Patent Office International Searching Authority in connection with the corresponding PCT International Application. A copy of the International Search Report is enclosed.

No fee is believed due for the filing of this statement. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

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			Sheet	1	of	1
Form PTO-1449 IRSY, 7,801 U.S. Department of Commerce	ATTORNEY DOCKET NO.	600-1-253				014
Patent and Trademark Office	SERIAL NO.	09/387,418				S
LIST OF DOCUMENTARY INFORMATION	APPLICANT	Zhang et al.				1662
CITED BY APPLICANT (Use several sheets if necessary)	FILING DATE	August 31, 1999				
	GROUP	1636				

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AI	WO 93/19179	12/30/93	РСТ				
	AJ	WO 93/19179 corrected	12/30/93	PCT				
·	AK	WO 95/08629	3/30/95	PCT				
	AL	WO 96/20954	7/11/96	PCT				
	AM	WO 99/14322	3/25/99	PCT				

#### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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